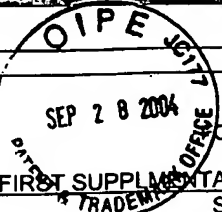


|  FORM PTO-1449 FIRST SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT | | | | ATTY. DOCKET NO. 2132.0180000 | | APPLICATION NO. 10/796,413 | |
|--|-----|-----------------|--|---|--------------------------------|--------------------------------------|-------------|
| | | | | FIRST NAMED INVENTOR Xiangfeng Duan | | | |
| | | | | FILING DATE March 10, 2004 | | ART UNIT 2818 | |
| U.S. PATENT DOCUMENTS | | | | | | | |
| EXAMINER INITIAL | | DOCUMENT NUMBER | DATE | NAME | CLASS | SUB-CLASS | FILING DATE |
| | AA1 | | | | | | |
| | AB1 | | | | | | |
| | AC1 | | | | | | |
| | AD1 | | | | | | |
| | AE1 | | | | | | |
| | AF1 | | | | | | |
| | AG1 | | | | | | |
| <i>MS</i> | AH1 | 6,586,785 | 07/2003 | Flagan <i>et al.</i> | | | |
| <i>MM</i> | AI1 | 6,723,606 | 04/2004 | Flagan <i>et al.</i> | | | |
| | AJ1 | | | | | | |
| | AK1 | | | | | | |
| FOREIGN PATENT DOCUMENTS | | | | | | | |
| EXAMINER INITIAL | | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUB-CLASS | TRANSLATION |
| | AL1 | | | | | | Yes No |
| | AM1 | | | | | | Yes No |
| | AN1 | | | | | | Yes No |
| | AO1 | | | | | | Yes No |
| | AP1 | | | | | | Yes No |
| OTHER (Including Author, Title, Date, Pertinent Pages, etc.) | | | | | | | |
| | AR | 1 | | | | | |
| <i>MS</i> | AS | 1 | McCarthy, W., O'REILLY NETWORK™ Quantum Dots and Programmable Matter (visited January 12, 2004) http://www.oreillynet.com/pub/a/network/2004/01/09/quantumdots.html , 5 pages, Copyright 2000-2004 O'Reilly & Associates, Inc. | | | | |
| <i>MS</i> | AT | 1 | Mandal, S., "QUANTUM DOTS," E & ECE, IIT Kharagpur, Roll: 9819107, 24 pages, August 29, 2001. | | | | |
| EXAMINER <i>MS</i> | | | | | DATE CONSIDERED <i>3/30/04</i> | | |
| EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant. | | | | | | | |

FORM PTO-1449

INFORMATION DISCLOSURE STATEMENT

ATTY. DOCKET NO.

2132.0180000

APPLICATION NO.

To be assigned

FIRST NAMED INVENTOR

Duan et al.

FILING DATE

March 10, 2004

ART UNIT

To be assigned

U.S. PATENT DOCUMENTS

| EXAMINER INITIAL | | DOCUMENT NUMBER | DATE | NAME | CLASS | SUB-CLASS | FILING DATE |
|---------------------|-----|--------------------|------------|-----------------|-------|-----------|-------------|
| <i>MM</i> | AA1 | 5,043,940 | 08/27/1991 | Harari | | | 07/17/1989 |
| <i>MM</i> | AB1 | 5,434,825 | 07/18/1995 | Harari | | | 09/03/1993 |
| <i>MM</i> | AC1 | 6,222,762 B1 | 04/24/2001 | Guterman et al. | | | 08/07/1997 |
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| <i>MM</i> | AE1 | 6,317,363 B1 | 11/13/2001 | Guterman et al. | | | 10/13/2000 |
| <i>MM</i> | AF1 | 6,317,364 B1 | 11/13/2001 | Guterman et al. | | | 10/13/2000 |
| <i>MM</i> | AG1 | 6,577,532 B1 | 06/10/2003 | Chevallier | | | 08/31/2000 |
| | AH1 | | | | | | |
| | AI1 | | | | | | |
| | AJ1 | | | | | | |
| | AK1 | | | | | | |

FOREIGN PATENT DOCUMENTS

| EXAMINER INITIAL | | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUB-CLASS | TRANSLATION |
|---------------------|-----|--------------------|------|---------|-------|-----------|-------------|
| | AL1 | | | | | | Yes No |
| | AM1 | | | | | | Yes No |
| | AN1 | | | | | | Yes No |
| | AO1 | | | | | | Yes No |
| | AP1 | | | | | | Yes No |

OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

| | | | |
|-----------|----|---|---|
| <i>MM</i> | AR | 1 | Silicon Storage Technology, Inc., "Technical Comparison of Floating Gate Reprogrammable Nonvolatile Memories", <i>Technical Paper</i> , November 2001, copyright 2002, 8 pages. |
| | AS | 1 | |
| | AT | 1 | |

EXAMINER

MM

DATE CONSIDERED

9/30/06

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.